

FORM PTO 1082-

EXPRESS LABEL NO.: EL017117958 US

PATENT

Case Docket No. 38786.00069

Date: May 20, 1999

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Edward SHANBROM

For: METHOD FOR QUANTIFYING ANTIOXIDANT LEVELS IN FOODS AND MEDICAL SPECIMENS

Enclosed are:

- ☐ 18 Pages of Specification
- ☐ Sheet(s) of drawing (☐ formal ☐ informal)
- ☒ Declaration and Power of Attorney ☐ Will follow.
- ☒ Form PTO-1595 and an assignment of the invention to SHANBROM TECHNOLOGIES LLC, of 603 West Ojai Avenue, Suite 5, Ojai, California 93023-3732 ☐ Will follow
- ☐ A certified copy of _____ from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119. ☐ Will follow.
- ☐ An associate Power of Attorney
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Information Disclosure Statement, Form PTO 1449, and ☐ cited reference(s).
- ☐ Preliminary Amendment
- ☒ General authorization/request to Petition for Extensions of Time
- ☒ Return Postcard

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE
BASIC FEE				\$380.00	OR		\$ 760.00
TOTAL CLAIMS	5-20=	-0-	X 9	\$	OR	X 18	\$
INDEPENDENT CLAIMS	3-3=	-0-	X 39	\$	OR	X 78	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED			X+ 130	\$	OR	+ 260	\$
			TOTAL =	\$380.00		TOTAL:	\$

- ☐ Please charge my Deposit Account No. 07-1853 the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☒ Check in the amount of \$380.00 to cover the filing fee is enclosed.
- ☒ Check for \$40.00 covering the Assignment Recordation Fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 07-1853. A duplicate copy of this sheet is enclosed.
 - ☒ Any additional filing fees required under 37 CFR 1.16.
 - ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 07-1853. A duplicate copy of this sheet is enclosed.
 - ☐ Any patent application processing fees under 37 CFR 1.17.
 - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
 - ☐ Any filing fees under CFR 1.16 for presentation or extra claims.

Respectfully submitted,

Stefan J. Kirchanski
Stefan J. Kirchanski
Registration No. 36,568

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052099/1144/38786.00069

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 C.F.R. 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN

PATENT
DOCKET NO: 38786.00069

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Edward SHANBROM

Serial No.: Currently unknown

Filed: Concurrently herewith

Title: METHOD FOR QUANTIFYING ANTIOXIDANT LEVELS IN FOODS AND MEDICAL SPECIMENS

I hereby declare that I am

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: Shanbrom Technologies LLC

Address of Small Business Concern: 603 West Ojai Avenue, Suite B, Ojai, CA 93023-3732

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18 and reproduced in 37 CFR 1.9(d) for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year; and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to, and remain with, the small business concern identified above with regard to the invention described in:

☒ the specification filed herewith with title as listed above.

☐ the application identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR (1.9(d), or a non-profit organization under 37 CFR(1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

☒ No such person, concern or organization exists.

☐ Each such person, concern or organization is listed below.

Full Name:

Address:

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon or any patent to which this verified statement is directed.

Dated: May 17, 1999

By: [Signature]

Name: William J. Shanbrom

Title: President

Address: 603 West Ojai Avenue, Suite B, Ojai, CA 93023-3732

051399/1817/38786.00069

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Edward SHANBROM

For: METHOD FOR QUANTIFYING ANTIOXIDANT LEVELS
IN FOODS AND MEDICAL SPECIMENSCERTIFICATE OF MAILING

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

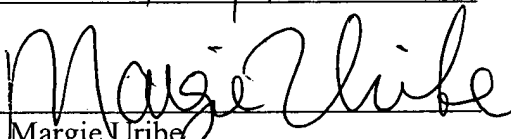
"EXPRESS MAIL" Mailing Label No.: EL017117958 US

Date of Deposit: May 20, 1999

I hereby certify that an application for patent, including:
a one-page cover sheet; 18 pages of Specification (which includes 5 claims and a one-page Abstract); an executed Combined Declaration/Power of Attorney; a check in the amount of \$380.00 to cover the filing fee; an executed Verified Statement Claiming Small Entity Status; a General Authorization/Request to Petition for Extensions of Time; an executed Assignment document, along with Form 1595 and a check in the amount of \$40.00 to cover the assignment recordal fee; a Transmittal Letter; and Return Postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Applications, Washington, D.C. 20231.

Date of Deposit:

5/20/99


Margie Uribe